



JC02 Rec'd PCT/PTC 22 AUG 2005 *Per*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **Hirokazu ISHIKAWA et al.**

Group Art Unit: **3724**

Serial No.: **10/519,256**

Filed: **December 27, 2004**

Confirmation No.: **2957**

For: **METHOD FOR CUTTING A GLASS SUBSTRATE MEMBER**

Attorney Docket Number: **042959**

Customer Number: **38834**

**REQUEST FOR CORRECTED FILING RECEIPT**

**Mail Stop: Missing Parts**

Commissioner for Patents

P. O. Box 1450

Alexandria, VA 22313-1450

August 22, 2005

Sir:

Please supply the undersigned attorney with a corrected filing receipt for the above-identified application. The undersigned also respectfully requests that the Patent and Trademark Office records be amended to reflect the correction.

In reviewing the Official Filing Receipt, we noted that there is an error in the **First Inventor's Name** and the **Assignee's Information** was not recorded. The First Inventor's Name should read --**Hirokazu ISHIKAWA**-- and the Assignee's Information should read --**THK CO., LTD., Tokyo, JAPAN; BELDEX CORPORATION, Tokyo, JAPAN**--. A copy of the **Transmittal Letter** and the **Declaration** are enclosed which indicate the correct information. We are also enclosing a copy of the filing receipt with the corrections highlighted.

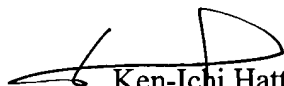
Request for Corrected Filing Receipt  
10/519,256  
042959

If any fees are required in connection with this paper, please charge Deposit Account No.

50-2866.

Respectfully submitted,

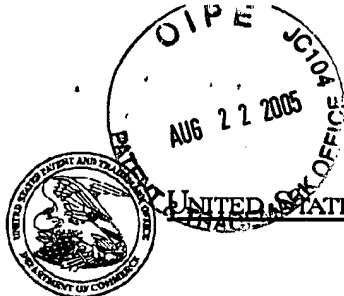
**WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP**

A handwritten signature in black ink, appearing to be 'Ken-Ichi Hattori', written over a horizontal line.

Ken-Ichi Hattori  
Attorney for Applicants  
Registration No. 32,861  
Telephone: (202) 822-1100  
Facsimile: (202) 822-1111

KH/if

Enclosures: Official Filing Receipt; Transmittal Letter; Declaration



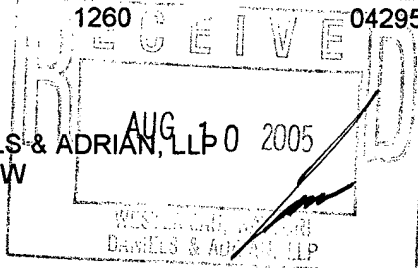
## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
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 Alexandria, Virginia 22313-1450  
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/519,256	12/27/2004	3724	1260	042959	6	8	2

38834

WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP  
 1250 CONNECTICUT AVENUE, NW  
 SUITE 700  
 WASHINGTON, DC 20036



CONFIRMATION NO. 2957

## FILING RECEIPT



\*OC000000016490132\*

Date Mailed: 08/02/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s) -- Hirokazu --;

Hirokazu Ishikawa, Shinagawa-ku, JAPAN;  
 Toshio Hayashi, Nerima-ku, JAPAN;

Please Insert { Assignment for Published Patent Application  
 : -- THK CO., LTD., Tokyo, JAPAN; BELDEX CORPORATION, Tokyo, JAPAN --;  
 Power of Attorney: The patent practitioners associated with Customer Number 38834.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP03/14592 11/17/2003

## Foreign Applications

JAPAN 2002-335293 11/19/2002

Projected Publication Date: To Be Determined - pending completion of Security Review

Non-Publication Request: No

Early Publication Request: No

## Title

Method of cutting glass substrate material

**Preliminary Class**

083

**PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

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**LICENSE FOR FOREIGN FILING UNDER****Title 35, United States Code, Section 184****Title 37, Code of Federal Regulations, 5.11 & 5.15****GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

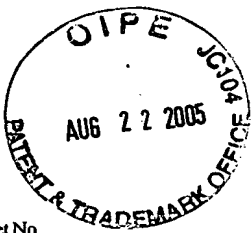
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**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE		DATE: December 27, 2004
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. Application. No. (if known):
INTERNATIONAL APPLICATION NO.: PCT/JP03/014592	INTERNATIONAL FILING DATE: November 17, 2003	PRIORITY DATE CLAIMED: November 19, 2002
TITLE OF INVENTION: <b>METHOD FOR CUTTING A GLASS SUBSTRATE MEMBER</b>		
APPLICANT(S) FOR DO/EO/US: <b>Hirokazu ISHIKAWA et al.</b>		
Applicant hereby submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <u>XX</u> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <u>   </u> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <u>XX</u> This is an express request to begin national examination procedures (35 USC 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <u>XX</u> The US has been elected (Article 31).</p> <p>5. <u>XX</u> A copy of the International Application as filed (35 U.S.C. 371(c)(2)):</p> <p style="margin-left: 20px;">a. <u>   </u> is transmitted herewith (required only if not transmitted by the International Bureau).</p> <p style="margin-left: 20px;">b. <u>XX</u> has been transmitted by the International Bureau.</p> <p style="margin-left: 20px;">c. <u>   </u> is not required, as the application was filed in the United States Receiving Office (RO/US)</p> <p>6. <u>XX</u> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p style="margin-left: 20px;">a. <u>XX</u> is attached hereto.</p> <p style="margin-left: 20px;">b. <u>   </u> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <u>XX</u> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p style="margin-left: 20px;">a. <u>   </u> are transmitted herewith (required only if not transmitted by the International Bureau).</p> <p style="margin-left: 20px;">b. <u>   </u> have been transmitted by the International Bureau.</p> <p style="margin-left: 20px;">c. <u>   </u> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p style="margin-left: 20px;">d. <u>XX</u> have not been made and will not be made.</p> <p>8. <u>   </u> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <u>XX</u> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <u>   </u> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>		
ITEMS 11. TO 20. BELOW CONCERN OTHER DOCUMENT(S) OR INFORMATION INCLUDED:		
<p>11. <u>XX</u> An Information Disclosure Statement under 37 CFR 1.97 and 1.98 together with the international search report, PTO-1449 and 5 references.</p> <p>12. <u>XX</u> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.  ASSIGNEES NAMES AND ADDRESSES: <u>(1) THK CO., LTD., Tokyo, Japan and (2) BELDEX CORPORATION, Tokyo, Japan</u>  Please publish the assignee data with the application.</p> <p>13. <u>XX</u> A preliminary amendment.</p> <p>14. <u>   </u> An Application Data Sheet under 37 C.F.R. 1.76</p> <p>15. <u>   </u> A substitute specification.</p> <p>16. <u>   </u> A change of power of attorney and/or address letter.</p> <p>17. <u>   </u> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 C.F.R. 1.821 - 1.825.</p> <p>18. <u>   </u> A second copy of the published international application under 35 U.S.C. 154(d)(4).</p> <p>19. <u>   </u> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <u>XX</u> Other items or information: 6 sheets of drawings and Form PCT/IB/306</p>		



T03 - 03152 US

Docket No. \_\_\_\_\_

WESTERMAN, HATTORI, DANIELS &amp; ADRIAN, LLP

**Declaration and Power of Attorney for Patent Application**

特許出願宣言書及び委任状

Japanese Language Declaration

日本語宣言書

私は、以下に記名された発明者として、ここに下記の通り宣言する：

As a below named inventor, I hereby declare that:

私の住所、郵便の宛先そして国籍は、私の氏名の後に記載された通りである。

My residence, post office address and citizenship are as stated next to my name.

下記の名称の発明について、特許請求範囲に記載され、且つ特許が求められている発明主題に関して、私は、最初で、最先且つ唯一の発明者である（唯一の氏名が記載されている場合）か、或いは最初、最先且つ共同発明者である（複数の氏名が記載されている場合）と信じている。

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

METHOD FOR CUTTING A GLASS SUBSTRATE

MEMBER

上記発明の明細書はここに添付されているが、下記の欄がチェックされている場合は、この限りでない：

the specification of which is attached hereto unless the following box is checked:

☐ \_\_\_\_\_ の日に出願され、  
この出願の米国出願番号または PCT 国際出願番号は、  
\_\_\_\_\_ であり、且つ  
\_\_\_\_\_ の日に補正された出願（該当する場合）

☒ was filed on November 17, 2003  
as United States Application Number or  
PCT International Application Number  
PCT/JP03/14592 and was amended on  
\_\_\_\_\_ (if applicable).

私は、上記の補正書によって補正された、特許請求範囲を含む上記明細書を検討し、且つ内容を理解していることをここに表明する。

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

私は、連邦規則法典第 37 編規則 1.56 に定義されている、特許性について重要な情報を開示する義務があることを承認する。

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

## Japanese Language Declaration

## 日本語宣言書

私は、ここに、以下に記載した外国での特許出願または発明者証出願、或いは米国以外の少なくとも一國を指定している米国法典第35編第365条(a)によるPCT国際出願について、同第119条(a)(b)項又は第365条(b)項に基づいて優先権の利益を主張するとともに、優先権を主張する本出願の出願日より前の出願日を有する外国で特許出願または発明者証出願、或いはPCT国際出願については、いかなる出願も、下記の枠内をチェックすることにより示した。

Prior Foreign Application(s)

外国での先行出願

P2002-335293

(Number)

(番号)

Japan

(Country)

(国名)

(Number)

(番号)

(Country)

(国名)

他の優先権出願については添付のリスト参照

私は、ここに、下記のいかなる米国仮特許出願についても、その米国法典第35編119条(e)項の利益を主張する。

(Application No.)

(出願番号)

(Filing Date)

(出願日)

私は、ここに、下記のいかなる米国出願についても、その米国法典第35編第120条に基づく利益を主張し、又米国を指定するいかなるPCT国際出願についても、その同第365条(c)に基づく利益を主張する。また、本出願の各特許請求の範囲の主題が、米国法典第35編第112条第1段に規定された態様で、先行する米国出願又はPCT国際出願に開示されていない場合においては、その先行出願の出願日と本国内出願日またはPCT国際出願日との間の期間中に入手された情報で、連邦規則法典第37編規則1.56に定義された特許性に関する重要な情報について開示義務があることを承認する。

(Application No.)

(出願番号)

(Filing Date)

(出願日)

(Application No.)

(出願番号)

(Filing Date)

(出願日)

私は、ここに表明された私自身の知識に係わる陳述が真実であり、且つ情報と信ずることに基づく陳述が、真実であると信じられることを宣言し、さらに、故意に虚偽の陳述などを行った場合は、米国法典第18編第1001条に基づき、罰金または拘禁、若しくはその両方により処罰され、またそのような故意による虚偽の陳述は、本出願またはそれに対して発行されるいかなる特許も、その有効性に問題が生ずることを理解した上で陳述が行われたことを、ここに宣言する。

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application for which priority is claimed.

Priority Claimed

YES NO

優先権主張

あり なし

19/11/02

(Day/Month/Year Filed)

(出願日/月/年)

☒☐

(Day/Month/Year Filed)

(出願日/月/年)

☐☐

(Day/Month/Year Filed)

(出願日/月/年)

☐☐

See attached list for additional prior foreign applications.

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below.

(Application No.)

(出願番号)

(Filing Date)

(出願日)

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of application.

(Status: Patented, Pending, Abandoned)

(現況 : 特許許可、係属中、放棄)

(Status: Patented, Pending, Abandoned)

(現況 : 特許許可、係属中、放棄)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.



**Declaration and Power of Attorney for Patent Application****特許出願宣言書及び委任状****Japanese Language Declaration****日本語宣言書**

委任状： 私は本出願を審査する手続を行い、且つ米国特許商標庁との全ての業務を遂行するために、記名された発明者として、下記の弁護士及び/または弁理士を任命する。

POWER OF ATTORNEY: As a named inventor, I hereby appoint The following attorney(s) and/or agent(s) to prosecute this Application and transact all business in the Patent and Trademark Office connected therewith.

**38834**

米国特許商標庁

**38834**

PATENT TRADEMARK OFFICE

全ての通信は下記の住所へ送付されたい。

Please direct all communications to the following address:

ウェスタマン、ハットリ、ダニエルズ & エイドリアン, LLP  
1250 コネチカット通り N. W. スイート700  
ワシントンDC、20036

WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP  
1250 Connecticut Avenue, N.W., Suite 700  
Washington, D.C. 20036

唯一または第一発明者氏名

発明者の署名

日付

住所

国籍

郵便の宛先

Full name of sole or first inventor

Hirokazu ISHIKAWA

Signature

Date



September 21, 2004

Residence

Shinagawa-ku, Tokyo, Japan

Citizenship

Japanese

Post Office Address

c/o Daito Seiki Co., Ltd. 13-2, Nishi-gotanda  
3-chome, Shinagawa-ku, Tokyo, 141-0031 Japan

第二共同発明者がいる場合、その氏名

発明者の署名

日付

住所

国籍

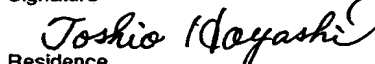
郵便の宛先

Full name of second joint inventor, if any

Toshio HAYASHI

Signature

Date



September 21, 2004

Residence

Nerima-ku, Tokyo, Japan

Citizenship

Japanese

Post Office Address

c/o Beldex Corporation. 11-10, Toyotamakita  
4-chome, Nerima-ku, Tokyo, 176-0012 Japan

第三共同発明者がいる場合、その氏名

発明者の署名

日付

住所

国籍

郵便の宛先

Full name of third joint inventor, if any

Signature

Date

Residence

Citizenship

Post Office Address